

n -Flat Graphs

Ramin Naimi

Joint work with Hedda Wanchen Zhao
(Preliminary report)

Most of this work was originally done in 2003 by Ryo Nikkuni
(Tokyo Woman's Christian University) and Yukihiro Tsutsumi.

Paneled embeddings

Let G be a **spatial graph**, i.e., a graph embedded in \mathbb{R}^3 (or S^3).

Paneled embeddings

Let G be a **spatial graph**, i.e., a graph embedded in \mathbb{R}^3 (or S^3).

Let C be a cycle in G that bounds a disk D .

Paneled embeddings

Let G be a **spatial graph**, i.e., a graph embedded in \mathbb{R}^3 (or S^3).

Let C be a cycle in G that bounds a disk D .

If $\text{interior}(D) \cap G = \emptyset$, we say D is a **panel** for C .

Paneled embeddings

Let G be a **spatial graph**, i.e., a graph embedded in \mathbb{R}^3 (or S^3).

Let C be a cycle in G that bounds a disk D .

If $\text{interior}(D) \cap G = \emptyset$, we say D is a **panel** for C .

G is **paneled** if every cycle has a panel.

Paneled embeddings

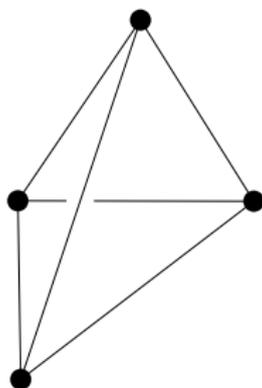
Let G be a **spatial graph**, i.e., a graph embedded in \mathbb{R}^3 (or S^3).

Let C be a cycle in G that bounds a disk D .

If $\text{interior}(D) \cap G = \emptyset$, we say D is a **panel** for C .

G is **paneled** if every cycle has a panel.

Example. A paneled embedding of K_4 :



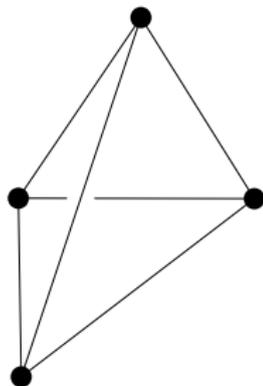
Flat graphs

A graph Γ is **flat** if it has a paneled embedding.

Flat graphs

A graph Γ is **flat** if it has a paneled embedding.

Example: K_4 is flat because it has a paneled embedding:



n -Flat graphs

Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors.

n -Flat graphs

Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)

n -Flat graphs

Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)

Example: K_4 is 2-flat (by inspection).



n -Flat graphs

Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)



Example: K_4 is 2-flat (by inspection).

But not 3-flat.

Proof:

n -Flat graphs

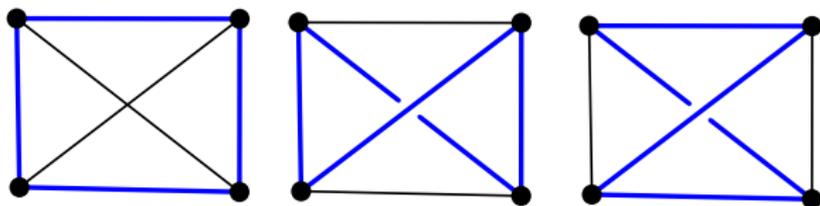
Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)



Example: K_4 is 2-flat (by inspection).

But not 3-flat.

Proof:



Take any embedding, and any panels for these three cycles.

n -Flat graphs

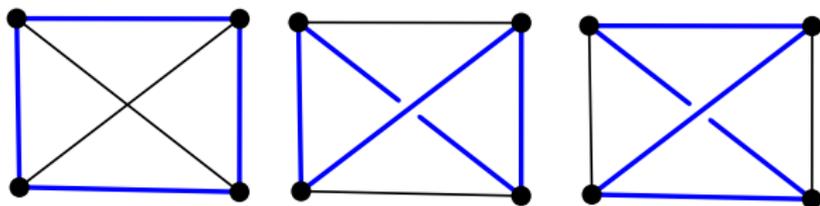
Γ is n -**flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)



Example: K_4 is 2-flat (by inspection).

But not 3-flat.

Proof:



Take any embedding, and any panels for these three cycles. Glue together abstractly, they make a projective plane.

n-Flat graphs

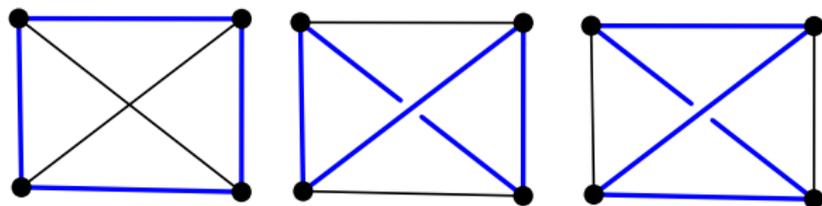
Γ is **n -flat** if it has an embedding in which any n distinct cycles bound panels that have pairwise disjoint interiors. (1-flat = flat)



Example: K_4 is 2-flat (by inspection).

But not 3-flat.

Proof:



Take any embedding, and any panels for these three cycles. Glue together abstractly, they make a projective plane.

So the three panels cannot be embedded in \mathbb{R}^3 with pairwise disjoint interiors.

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Question

What is the set of all minor minimal non- n -flat graphs?

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Question

What is the set of all minor minimal non- n -flat graphs?

Call this set Ω_n .

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Question

What is the set of all minor minimal non- n -flat graphs?

Call this set Ω_n .

Easy: Every minor of an n -flat graph is n -flat.

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Question

What is the set of all minor minimal non- n -flat graphs?

Call this set Ω_n .

Easy: Every minor of an n -flat graph is n -flat.

So Γ is n -flat iff it has no minor in Ω_n .

Minor Minimal Graphs & Obstruction Sets

A graph is a **minor** of Γ if it can be obtained by contracting zero or more edges of a subgraph of Γ .

Well known and easy to show: K_5 , $K_{3,3}$ are **minor minimal** nonplanar.

Theorem (Kuratowski 1930, Wagner 1937)

K_5 and $K_{3,3}$ are the only minor minimal nonplanar graphs.

Question

What is the set of all minor minimal non- n -flat graphs?

Call this set Ω_n .

Easy: Every minor of an n -flat graph is n -flat.

So Γ is n -flat iff it has no minor in Ω_n .

Ω_n is the **obstruction set** for n -flatness.

Ω_1

Theorem (Robertson, Seymour, Thomas 1985)

Ω_1 := the Petersen family of graphs.

 K_6  $K_{3,3,1}$ 

Ω_n

Graph Minor Theorem (Robertson & Seymour) $\implies \Omega_n$ is finite.

Ω_n

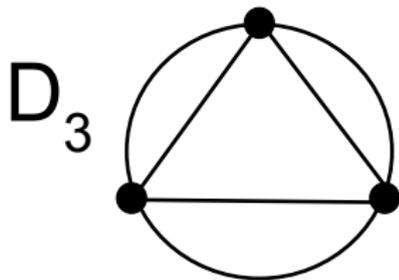
Theorem
(Almost)

▶ $\Omega_3 = \{K_4\}$

Ω_n

Theorem
(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$



Ω_n

Theorem

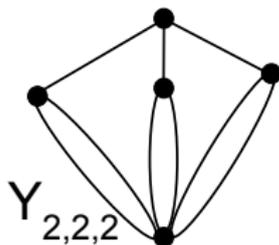
(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$

Theorem

(Almost)

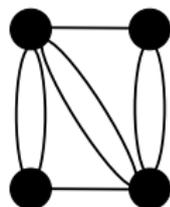
- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$



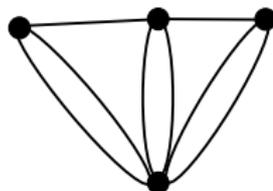
Theorem

(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$



$N_{2,2,2}$



$V_{2,2,2}$

Theorem

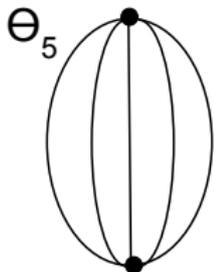
(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$
- ▶ $\Omega_8 = \{K_4, D_3, N_{2,1,2}, V_{2,1,2}, V_{4,2}\}$

Theorem

(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$
- ▶ $\Omega_8 = \{K_4, D_3, N_{2,1,2}, V_{2,1,2}, V_{4,2}\}$
- ▶ $\Omega_9 = \{K_4, D_3, \theta_6, V_{3,2}\}$
- ▶ $\Omega_{10} = \{K_4, D_3, \theta_5\}$



Theorem

(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$
- ▶ $\Omega_8 = \{K_4, D_3, N_{2,1,2}, V_{2,1,2}, V_{4,2}\}$
- ▶ $\Omega_9 = \{K_4, D_3, \theta_6, V_{3,2}\}$
- ▶ $\Omega_{10} = \{K_4, D_3, \theta_5\}$
- ▶ For $n > 10$, $\Omega_n = \Omega_{10}$

Theorem

(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$
- ▶ $\Omega_8 = \{K_4, D_3, N_{2,1,2}, V_{2,1,2}, V_{4,2}\}$
- ▶ $\Omega_9 = \{K_4, D_3, \theta_6, V_{3,2}\}$
- ▶ $\Omega_{10} = \{K_4, D_3, \theta_5\}$
- ▶ For $n > 10$, $\Omega_n = \Omega_{10}$

- ▶ $\Omega_2 \supseteq \{K_6, K_{3,4}\}$

Theorem

(Almost)

- ▶ $\Omega_3 = \{K_4\}$
- ▶ $\Omega_4 = \{K_4, D_3\}$
- ▶ $\Omega_5 = \{K_4, D_3\}$
- ▶ $\Omega_6 = \{K_4, D_3, Y_{2,2,2}\}$
- ▶ $\Omega_7 = \{K_4, D_3, N_{2,2,2}, V_{2,2,2}\}$
- ▶ $\Omega_8 = \{K_4, D_3, N_{2,1,2}, V_{2,1,2}, V_{4,2}\}$
- ▶ $\Omega_9 = \{K_4, D_3, \theta_6, V_{3,2}\}$
- ▶ $\Omega_{10} = \{K_4, D_3, \theta_5\}$
- ▶ For $n > 10$, $\Omega_n = \Omega_{10}$

- ▶ $\Omega_2 \supseteq \{K_6, K_{3,4}\}$ (conjectured equality)

A pattern

$$\{K_3, K_{3,1}\}$$

$$\{K_4, K_{3,2}\}$$

$$\{K_5, K_{3,3}\}$$

A pattern

$\{K_3, K_{3,1}\}$

$\{K_4, K_{3,2}\}$

$\{K_5, K_{3,3}\} =$ Obstruction set for planarity

A pattern

$\{K_3, K_{3,1}\}$

$\{K_4, K_{3,2}\}$ = Obstruction set for outer-planarity

$\{K_5, K_{3,3}\}$ = Obstruction set for planarity

A pattern

$\{K_3, K_{3,1}\}$ = Obstruction set for being a disjoint union of paths

$\{K_4, K_{3,2}\}$ = Obstruction set for outer-planarity

$\{K_5, K_{3,3}\}$ = Obstruction set for planarity

A pattern

$\{K_3, K_{3,1}\}$ = Obstruction set for being a disjoint union of paths

$\{K_4, K_{3,2}\}$ = Obstruction set for outer-planarity

$\{K_5, K_{3,3}\}$ = Obstruction set for planarity

What topological property has obstruction set $\{K_6, K_{3,4}\}$?

(Asked by A. Pavelescu and E. Pavelescu)

A pattern

$\{K_3, K_{3,1}\}$ = Obstruction set for being a disjoint union of paths

$\{K_4, K_{3,2}\}$ = Obstruction set for outer-planarity

$\{K_5, K_{3,3}\}$ = Obstruction set for planarity

What topological property has obstruction set $\{K_6, K_{3,4}\}$?

(Asked by A. Pavelescu and E. Pavelescu)

If the conjecture is true, the answer is: 2-flatness.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

So Γ is not 3-connected.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

So Γ is not 3-connected.

So can write $\Gamma = \Gamma_1 \cup \Gamma_2$, where $\Gamma_1 \cap \Gamma_2 =$ two vertices.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

So Γ is not 3-connected.

So can write $\Gamma = \Gamma_1 \cup \Gamma_2$, where $\Gamma_1 \cap \Gamma_2 =$ two vertices.

Γ_i has no K_4 minor.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

So Γ is not 3-connected.

So can write $\Gamma = \Gamma_1 \cup \Gamma_2$, where $\Gamma_1 \cap \Gamma_2 =$ two vertices.

Γ_i has no K_4 minor.

So, by induction, Γ_i is 3-flat.

Characterization of 3-flat graphs

Recall: K_4 is not 3-flat.

So a graph with a K_4 minor is not 3-flat.

Theorem

A graph with no K_4 minor is 3-flat.

Outline of proof: By induction on the number of vertices.

Suppose Γ has no K_4 minor.

So Γ is not 3-connected.

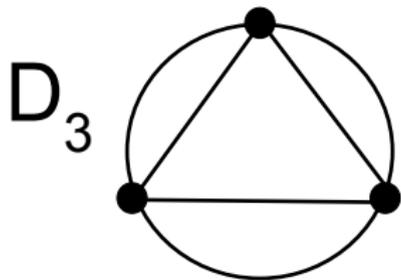
So can write $\Gamma = \Gamma_1 \cup \Gamma_2$, where $\Gamma_1 \cap \Gamma_2 =$ two vertices.

Γ_i has no K_4 minor.

So, by induction, Γ_i is 3-flat.

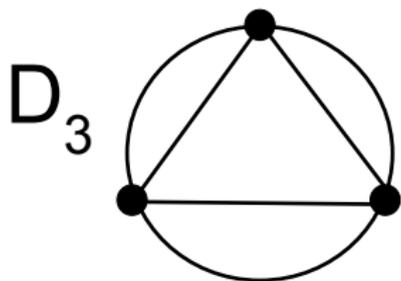
Now can show Γ is 3-flat...

D_3



D_3 is not 4-flat.

D_3



D_3 is not 4-flat.

Proof: It has four 3-cycles whose panels, when glued together, yield a projective plane.

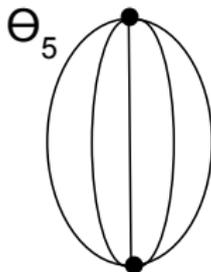
θ_5

θ_5 is not 10-flat.

θ_5

θ_5 is not 10-flat.

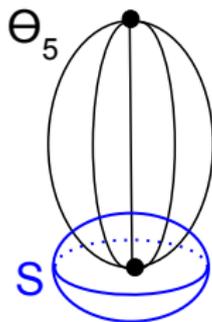
Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.

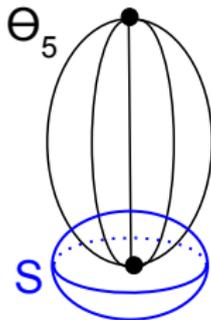


Let S be a small sphere around a vertex of θ_5 .

θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



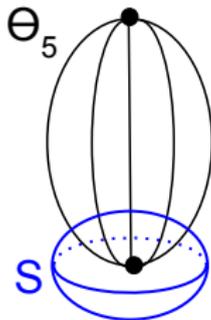
Let S be a small sphere around a vertex of θ_5 .

S intersects each panel in an arc, and each edge in a point.

θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



Let S be a small sphere around a vertex of θ_5 .

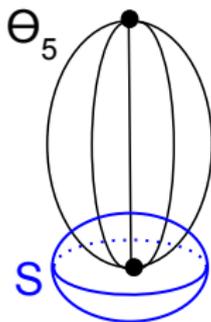
S intersects each panel in an arc, and each edge in a point.

We get a “cross-sectional” graph on S : 10 edges, 5 vertices

θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



Let S be a small sphere around a vertex of θ_5 .

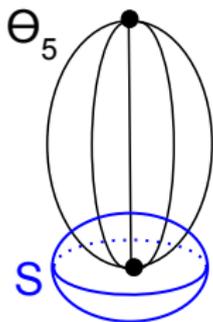
S intersects each panel in an arc, and each edge in a point.

We get a “cross-sectional” graph on S : 10 edges, 5 vertices (K_5).

θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



Let S be a small sphere around a vertex of θ_5 .

S intersects each panel in an arc, and each edge in a point.

We get a “cross-sectional” graph on S : 10 edges, 5 vertices (K_5).

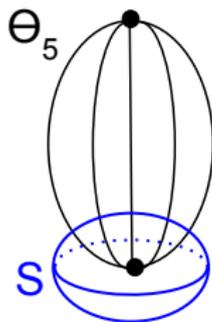
K_5 is nonplanar

\implies At least two edges of K_5 cross each other on S

θ_5

θ_5 is not 10-flat.

Proof: Take an arbitrary embedding of θ_5 and a panel for each of the ten cycles.



Let S be a small sphere around a vertex of θ_5 .

S intersects each panel in an arc, and each edge in a point.

We get a “cross-sectional” graph on S : 10 edges, 5 vertices (K_5).

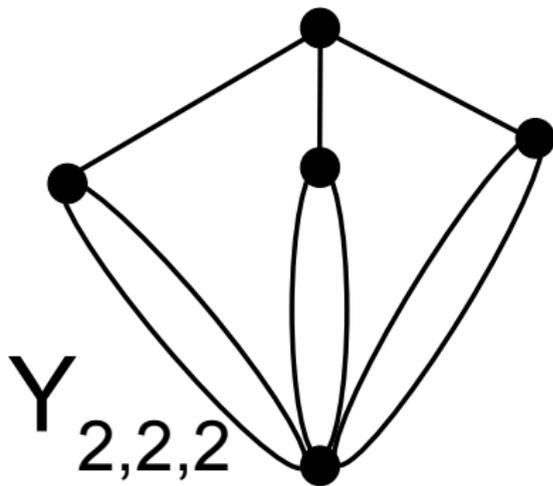
K_5 is nonplanar

\implies At least two edges of K_5 cross each other on S

\implies At least two panels intersect in their interiors.

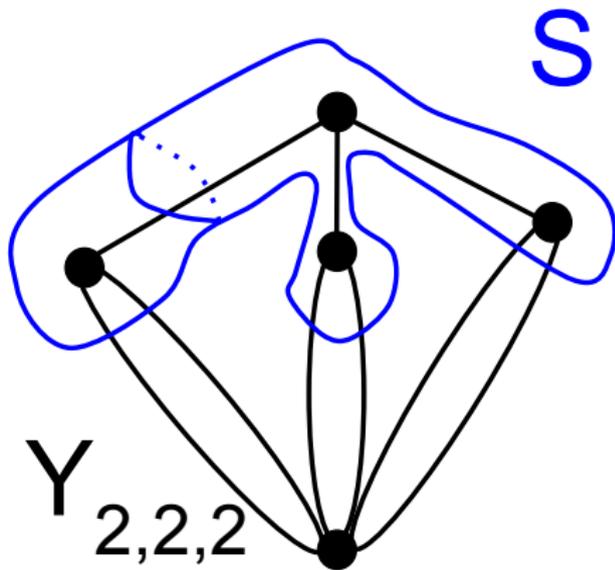
$Y_{2,2,2}$

$Y_{2,2,2}$ is not 6-flat.



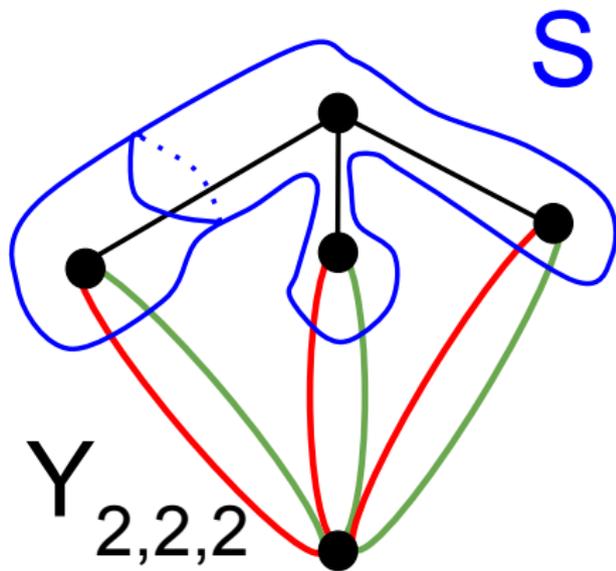
$Y_{2,2,2}$

$Y_{2,2,2}$ is not 6-flat.



$Y_{2,2,2}$

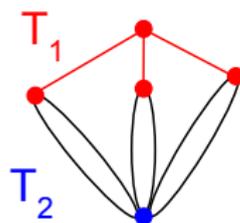
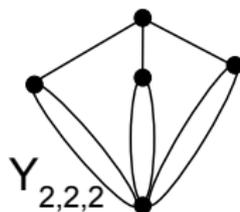
$Y_{2,2,2}$ is not 6-flat.



Lemma for proving the main theorem

Lemma

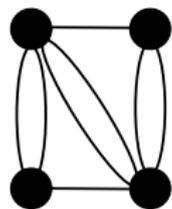
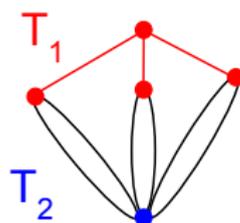
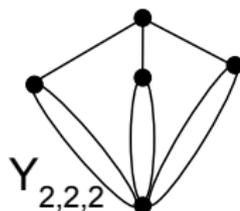
If Γ has no K_4 minor and no D_3 minor, then Γ contains disjoint trees T_1, T_2 such that $V(T_1) \cup V(T_2) = V(\Gamma)$ and for every cycle C in Γ , $C \cap T_i$ is connected.



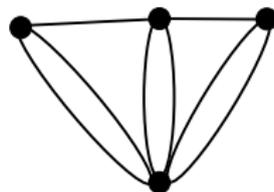
Lemma for proving the main theorem

Lemma

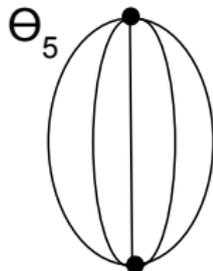
If Γ has no K_4 minor and no D_3 minor, then Γ contains disjoint trees T_1, T_2 such that $V(T_1) \cup V(T_2) = V(\Gamma)$ and for every cycle C in Γ , $C \cap T_i$ is connected.



$N_{2,2,2}$



$V_{2,2,2}$



Thank you!
